



Supplier Compliance Questionnaire

Dear Supplier,

Thank you for your continued support and collaboration with ECARX. As our business operations are currently under strict regulatory scrutiny, we carefully select our supplier partners to ensure they uphold the same working principles outlined in the "ECARX Supplier Code of Conduct."

The purpose of this questionnaire is to collect basic information and operational details from your company. It must be completed, signed, and stamped to the extent applicable by the legal representative of your company or an authorized senior executive. Please ensure that all provided information is accurate and up-to-date. All collected information will be used strictly for internal assessment purposes and will be treated confidentially.

If you have any questions, please contact the ECARX Purchasing team.

Basic Information		
01.	Supplier (or " Company ") Full Name (Chinese):	
02.	Company Full Name (English)	
03.	Company Registration Certificate Number	
04.	VAT ID	
05.	Company Registered Address	
06.	Company Actual Operating Address	
07.	Factory Address(es) related to the business	
08.	Contact Person's Name	

09.	Contact Person's Email																		
10.	Please provide the Company's equity structure	<table border="1"> <tr> <td>Name (Individual or Company):</td> <td colspan="2">Please list all individuals and companies that own 25% or more shares in the Company, along with their percentage of ownership</td> </tr> <tr> <td></td> <td colspan="2"></td> </tr> <tr> <td></td> <td colspan="2"></td> </tr> <tr> <td></td> <td colspan="2"></td> </tr> <tr> <td></td> <td colspan="2"></td> </tr> </table>			Name (Individual or Company):	Please list all individuals and companies that own 25% or more shares in the Company, along with their percentage of ownership													
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11.	Please provide the names and contact information of at least 2 board members	<table border="1"> <thead> <tr> <th>Name</th> <th>Country/Region</th> <th>Contact Information</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>			Name	Country/Region	Contact Information												
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ECARX Supplier Code of Conduct [Note: For any questions marked as "No," please provide details or specific reasons.]																			
12.	Has the Company received the "ECARX Supplier Code of Conduct"?	<input type="checkbox"/> Yes	<input type="checkbox"/> No																
13.	Have all relevant personnel of the Company read and understood the content of the "ECARX Supplier Code of Conduct" confirming that the Company is not currently in violation of the Code and must abide by the Code in the future when doing business or having transactions with ECARX?	<input type="checkbox"/> Yes	<input type="checkbox"/> No If No, provide details: []																

Conflict of Interest			
14.	There are no financial or economic transactions between Company personnel (or their family members) and ECARX personnel (or their family members).	<input type="checkbox"/> Yes, there are no conflicts of interest or financial transactions.	<input type="checkbox"/> No If No, provide details: []
15.	The Company personnel do not have any family or close personal relationships with ECARX personnel.	<input type="checkbox"/> Yes, there are no such relationships.	<input type="checkbox"/> No If No, provide details: []
16.	The Company must not engage with or interact with public officials on behalf of ECARX.	<input type="checkbox"/> Yes, must not engage in such activities on behalf of ECARX.	<input type="checkbox"/> No If No, provide details: []
Company Compliance			
17.	In the past five years, the Company has not had any significant issues or problems related to compliance areas, nor has it faced any legal, administrative, or criminal charges, investigations, penalties, or lawsuits from any country.	<input type="checkbox"/> Yes, there are no such compliance issues.	<input type="checkbox"/> No If No, provide details: []
18.	In the past 5 years, the Company or any intermediaries cooperating with the Company has not been involved in or disclosed to any government agency any bribery, fraud, or corruption related to the Company and its personnel.	<input type="checkbox"/> Yes, there are no such compliance issues.	<input type="checkbox"/> No If No, provide details: []
19.	The Company does not require unconventional payment methods, such as prepayment to individuals or third-party companies or suggestions to split payments between two or more accounts.	<input type="checkbox"/> Yes, there are no such compliance issues.	<input type="checkbox"/> No If No, provide details: []
20.	In the past 5 years, the Company has not been blacklisted by other companies.	<input type="checkbox"/> Yes, has never been blacklisted.	<input type="checkbox"/> No If No, provide details: []

Information and Data Security			
21.	If the Company's supplied products involve the processing of personal information, the Company agrees to accept data processing agreements signed with ECARX or ECARX's customers. The data processing agreement can be separately negotiated for specific content.	<input type="checkbox"/> Yes, agree.	<input type="checkbox"/> No If No, provide details: []
22.	All products or tools provided by the Company to ECARX comply with cybersecurity and data protection laws, regulations, and relevant standards and have obtained the necessary product qualifications and certifications.	<input type="checkbox"/> Yes, have met the standards and obtained the appropriate qualifications and certifications.	<input type="checkbox"/> No If No, provide details: []
Control and Trade Sanctions			
23.	In the past five years, neither the Company nor its affiliates, branches, related entities, shareholders, actual controllers, directors, executives, employees, agents, representatives, etc., have been listed on sanctions, export control lists, or similar lists in the United Nations, China, the U.S., the European Union, or other countries and regions.	<input type="checkbox"/> Yes, has never been listed on any sanction list.	<input type="checkbox"/> No If No, provide details: []
24.	The products, technology, and services provided by the Company to ECARX are not subject to export control restrictions in any country, including but not limited to China, the U.S., the European Union, etc.	<input type="checkbox"/> Yes, not subject to the export control restriction.	<input type="checkbox"/> No If No, provide details: []
25.	The Company agrees to sign export control-related documents (such as the "Export Control Compliance Commitment Letter") with ECARX upon request.	<input type="checkbox"/> Yes, agree.	<input type="checkbox"/> No If No, provide details: []
26.	The Company agrees to make the best efforts to cooperate with ECARX or its customers in conducting supply chain traceability for	<input type="checkbox"/> Yes, must make every effort to cooperate fully.	<input type="checkbox"/> No If No, provide details: []

	projects related to Xinjiang-related products or services and conflict minerals, as required by applicable laws and regulations.		
27.	The Company agrees to guide its upstream suppliers to support ECARX-initiated supply chain traceability work for the projects mentioned in item 25.	<input type="checkbox"/> Yes, agree to guide the upstream suppliers to support ECARX.	<input type="checkbox"/> No If No, provide details: []
Environmental, Social, Governance			
28.	Currently, the Company and its products do not pose any ESG risks, including but not limited to labour and human rights, environmental protection, sustainable development, occupational health and safety, etc.	<input type="checkbox"/> Yes, there are no such ESG risks.	<input type="checkbox"/> No If No, provide details: []
29.	The Company agrees to support ECARX in conducting ESG compliance assessments and establishing an ESG compliance system when requested by ECARX.	<input type="checkbox"/> Yes, must support ECARX in such work.	<input type="checkbox"/> No If No, provide details: []

Confirmation Letter:

I hereby represent our company and affirm the following:



- To the best of my knowledge, all information provided in this questionnaire is accurate and complete.
- Our company has read and understood the "Supplier Compliance Questionnaire", the "ECARX Supplier Code of Conduct" and associated ECARX policies, and commits to adhering to the principles and terms outlined therein. In the event of any bribery, fraud, corruption, or other violations, our company must promptly report such incidents to ECARX.
- Our company understands that ECARX must base its decision on whether to establish any cooperation agreements or arrangements with us on the information provided.
- Our company agrees that ECARX may contact our company's designated contact person based on the information provided. We confirm having obtained the contact person's authorization for the use of their personal information by ECARX.
- Our company agrees to promptly inform ECARX of any significant changes to the provided information.

Company Name (Stamped/Printed):

Name (Printed): Position:

Signature: Date: